



Attorney Docket No. 50325-0892

DZ

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Amol Khare, et al. ) Confirmation No.: 9036  
Serial No.: 10/820,980 ) Examiner: NYA  
Filed on: April 7, 2004 ) Group Art Unit No.: 2661

For: METHOD AND APPARATUS FOR PREVENTING NETWORK ATTACKS BY AUTHENTICATING INTERNET CONTROL MESSAGE PROTOCOL PACKETS

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Applicants would like to bring to the Examiner's attention that the following applications,

| U.S. Application/ Pat. No. | File Date | Atty. Docket. No. |
|----------------------------|-----------|-------------------|
| 10/792,146                 | 3/2/2004  | 50325-0872        |
| 10/815,218                 | 3/30/2004 | 50325-0886        |
| 10/842,015                 | 5/6/2004  | 50325-0904        |

referred to as the "related applications", contain subject matter that is related to the subject matter of the present application. The related applications may contain one or more claims that are substantially similar to one or more claims in the present application, and those claims may have been rejected in the related applications. Therefore, the Examiner is encouraged to review the file histories of the related applications as some of the information contained therein may be material to the examination of the present application.

Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the documents cited on that form. It is respectfully requested that the cited documents be considered and that the enclosed Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

Pursuant to 37 C.F.R. § 1.97, the submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed as an admission that the information cited in this statement is material to patentability.

Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under 37 C.F.R. §1.97(b).

Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302.

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Dated: December 2, 2004



Christopher J. Palermo  
Reg. No. 42,056

1600 Willow Street  
San Jose, California 95125-5106  
Telephone: (408) 414-1080  
Facsimile: (408) 414-1076

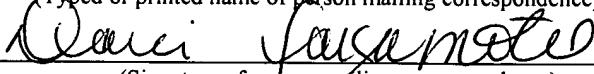
---

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

December 2, 2004  
(Date of Deposit)

Darci Sakamoto

(Typed or printed name of person mailing correspondence)



(Signature of person mailing correspondence)

# INFORMATION DISCLOSURE CITATION

IN AN APPLICATION

(PTO-1449)



**ATTY. DOCKET NO.**  
50325-0892

**SERIAL NO.**  
10/820,980

**APPLICANT:**  
Amol Khare

**FILING DATE:**  
April 7, 2004

**GROUP:**  
2661

## U.S. PATENT DOCUMENTS

| Exam.<br>Initial* | Cite<br>No. <sup>1</sup> | U.S. Patent Document |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of Publication of<br>Cited Document<br>MM-DD-YYYY | Pages, Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant Figures<br>Appear |
|-------------------|--------------------------|----------------------|--------------------------------------|--|--|--|
|                   |                          | Number               | Kind Code <sup>2</sup><br>(If known) |  |  |  |
|                   |                          |                      |                                      |  |  |  |
|                   |                          |                      |                                      |  |  |  |
|                   |                          |                      |                                      |  |  |  |
|                   |                          |                      |                                      |  |  |  |
|                   |                          |                      |                                      |  |  |  |
|                   |                          |                      |                                      |  |  |  |
|                   |                          |                      |                                      |  |  |  |

## FOREIGN PATENT DOCUMENTS

| Exam.<br>Initial* | Cite<br>No. <sup>1</sup> | Foreign Patent Document |                     |                                      | Name of Patentee or Applicant<br>of Cited Document | Date of<br>Publication of<br>Cited Document<br>MM-DD-YYYY | Pages,<br>Columns,<br>Lines, Where<br>Relevant<br>Passages or<br>Relevant<br>Figures<br>Appear | T <sup>6</sup> |
|-------------------|--------------------------|-------------------------|---------------------|--------------------------------------|--|---|--|----------------|
|                   |                          | Office <sup>3</sup>     | Number <sup>4</sup> | Kind Code <sup>5</sup><br>(If known) |  |   |  |                |
|                   |                          |                         |                     |                                      |  |   |  |                |

## OTHER ART – NO PATENT LITERATURE DOCUMENTS

|                       |                          |  |                          |
|-----------------------|--------------------------|--|--------------------------|
| Examiner<br>Initials* | Cite<br>No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | Translation <sup>2</sup> |
|                       |                          | Stewart, R., "Transmission Control Protocol security considerations draft-ietf-tcpm-tcpsecure-00.txt", Network Working Group (Draft, 2004); pages 1-10.  |                          |
|                       |                          | "Transmission Control Protocol", <i>Information Sciences Institute</i> (1981), 89 pages.   |                          |

|                       |                 |
|-----------------------|-----------------|
| Examiner<br>Signature | Date Considered |
|-----------------------|-----------------|

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). <sup>4</sup>For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.